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PATENT  
Customer No. 22,852  
Attorney Docket No. 5793.3023-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Frank L. ROTMAN et al. ) Group Art Unit: 3624  
)  
Application No.: 09/788,643 ) Examiner: H. M. Kazimi  
)  
Filed: February 21, 2001 )  
)  
For: METHODS AND SYSTEMS FOR ) Confirmation No.: 7059  
PROVIDING TRANSACTION )  
DATA )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

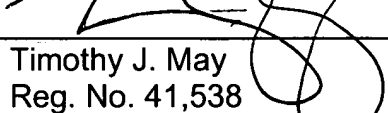
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 29, 2004

By:   
Timothy J. May  
Reg. No. 41,538

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.: 5793.3023-00	Appln. No.: 09/788,643
Applicant: Frank L. ROTMAN et al.	
Filing Date: February 21, 2001	Group: 3624

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,430,644	7/4/1995	Deaton et al.			
	5,930,764	7/27/1999	Melchione et al.			
	5,974,396	10/26/1999	Anderson et al.			
	6,029,139	2/22/2000	Cunningham et al.			
	6,078,891	6/20/2000	Riordan et al.			
	6,182,048	1/30/2001	Osborn et al.			
	6,334,110	12/25/2001	Walter et al.			
	6,366,890	4/2/2002	Usrey			
	6,377,935	4/23/2002	Deaton et al.			
	6,493,723	12/10/2002	Busche			
	6,505,168	1/7/2003	Rothman et al.			

## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
WO 01/71606	9/27/2001	WIPO			
WO 01/97139	12/20/2001	WIPO			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

.	Co-pending U.S. Patent Application No. 10/185,977, filed on June 28, 2002 to Cheng Kenneth Xu
.	Co-pending U.S. Patent Application No. 10/830,353, filed on April 23, 2004 to Daniel R. Swanson
.	Co-pending U.S. Patent Application No. 10/830,303, filed on April 23, 2004 to Daniel R. Swanson
.	Co-pending U.S. Patent Application No. 10/830,304 filed on April 23, 2004 to Daniel R. Swanson
.	Co-pending U.S. Patent Application No. 10/830,301, filed on April 23, 2004 to Daniel R. Swanson
.	Co-pending U.S. Patent Application No. 10/830,295, filed on April 23, 2004 to Daniel R. Swanson
.	Co-pending U.S. Patent Application No. 10/830,306, filed on April 23, 2004 to Daniel R. Swanson
.	Co-pending U.S. Patent Application No. 10/830,296, filed on April 23, 2004 to Daniel R. Swanson
.	Co-pending U.S. Patent Application No. 10/830,298, filed on April 23, 2004 to Daniel R. Swanson
.	Co-pending U.S. Patent Application No. 10/830,237, filed on April 23, 2004 to Daniel R. Swanson

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
SEP 29 2004 TRADEMARK OFFICE	Co-pending U.S. Patent Application No. 10/830,302, filed on April 23, 2004 to Daniel R. Swanson
	Co-pending U.S. Patent Application No. 10/830,398, filed on April 23, 2004 to Daniel R. Swanson
	Co-pending U.S. Patent Application No. 10/830,397, filed on April 23, 2004 to Daniel R. Swanson
	Co-pending U.S. Patent Application No. 10/830,401, filed on April 23, 2004 to Daniel R. Swanson
	Co-pending U.S. Patent Application No. 10/830,393, filed on April 23, 2004 to Daniel R. Swanson
	Co-pending U.S. Patent Application No. 10/830,392, filed on April 23, 2004 to Daniel R. Swanson
	David R. Anderson et al., "An Introduction to Management Science - Quantitative Approaches to Decision Making," Third Edition, West Publishing Company, St. Paul, Minnesota, 1982, pp. 183-223
	Shaun Doyle, "Implementing a retail marketing database: Key issues," The Journal of Database Marketing, Vol. 4, No. 1, February 27, 1996, pp 39-47

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce